

**CS 3313**

**Foundations of Computing:**

**Examples of use of CFL**

**Pumping Lemma**

# Going Back to Before the Exam

- Today we will practice using the CFL pumping lemma
- You will need this on the next homework

# Statement of the CFL Pumping Lemma

For every context-free language  $L$

There is an integer  $p$ , such that

For every string  $s$  in  $L$  of length  $\geq p$

There exists  $s = uvwxy$  such that:

1.  $|vwx| \leq p$ .
  2.  $|vx| > 0$ .
  3. For all  $i \geq 0$ ,  $uv^iwx^iy$  is in  $L$ .
- You cannot fix the value of  $p$
  - $vwx$  can fall anywhere in the string as long as it satisfies  $|vwx| \leq p$   
=> have to consider all cases for  $vwx$

## $L_1: \{ a^m \mid m \text{ is a prime number} \}$

1. Assume it is CFL and let  $p$  be the constant of the lemma
  2. Pick  $z = a^n$  where  $n$  is the smallest prime larger than  $p$
  3.  $z = uvwxy$ 
    - All the substrings consist entirely of a's
    - Let  $v = a^j$  and  $x = a^k$
    - Remaining string  $uwy$  consists of  $n - (j+k)$  a's.
- From lemma, we know that  $1 \leq j+k \leq p$

## $L_1: \{ a^m \mid m \text{ is a prime number} \}$

- From lemma,  $uv^iwx^iy$  is in  $L_1$  for all  $i \geq 0$ 
  - we need to pick an  $i$  so that the resulting number of  $a$ 's are not prime.
- How to get a contradiction: pick a value of  $i$  such that we end up with a number that can be factored
- Pick  $i = n+1$ , since  $vx$  consists of  $(j+k)$   $a$ 's
  - $uv^iwx^iy = a^{n-(j+k)} a^{(n+1)(j+k)} = a^{(n-(j+k) + (n+1)(j+k))}$
- So, the number of  $a$ 's is
$$m = (n - (j+k) + (n+1)(j+k)) = n + n(j+k) = n(1+j+k).$$
- Since  $(j+k) \geq 1$ ,  $(1+j+k) \geq 2$
- Therefore  $m = n(1+j+k)$  is not a prime
  - Since it has two factors, both greater than 1.

$L_2: \{ w \mid w \in \{a,b,c\}^*, \text{ and } n_a(w) = n_b(w) * n_c(w) \}$

- This language does not place restrictions on the pattern
  - $n_a(w)$  = number of a's in the string w, etc.
  - We can have a's after b's etc.
- Intuition: we need to keep track of number of b's and c's, and then multiply the two...this implies we need to store two variables ( $n_b(w)$  and  $n_c(w)$ ): likely not context free

$L_2: \{ w \mid w \in \{a,b,c\}^*, \text{ and } n_a(w) = n_b(w) * n_c(w) \}$

- Assume  $L_2$  context free, let  $p$  be the constant of the lemma
- We need to pick values for  $n_a(w)$ ,  $n_b(w)$ ,  $n_c(w)$  which will make it easy to prove the  $n_a(w)$  in pumped string cannot be the product of  $n_b(w)$  and  $n_c(w)$
- Additionally, pick a pattern that makes it easier to determine the different cases of  $vwx$

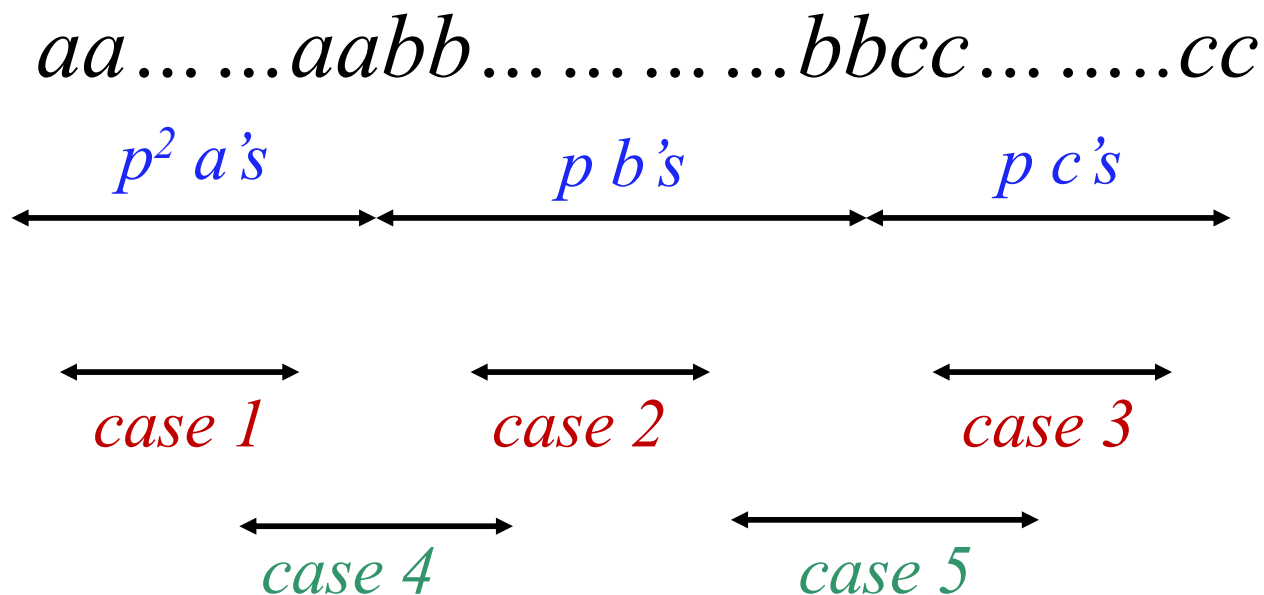
$L_2: \{ w \mid w \in \{a,b,c\}^*, \text{ and } n_a(w) = n_b(w) * n_c(w) \}$

- Let  $p$  be the constant and pick  $z = a^m b^p c^p$  where  $m = p^2$ 
  - *why pick this as  $z$  ?*
  - We want to construct an instance of  $n_b(w) * n_c(w)$  which will make it easier to contradict: if we pick perfect squares then we know that the next perfect square after  $p^2$  is  $(p+1)^2$  which is  $(2p+1)$  more than  $p^2$
  - Lemma states,  $|vwx| \leq p$  and  $|vx| \geq 1$
- Next: look at the possible cases for where  $vwx$  could be
  - We need to find a contradiction for each of these cases



$L_2: \{ w \mid w \in \{a,b,c\}^*, \text{ and } n_a(w) = n_b(w) * n_c(w) \}$

- Let's look at the possible cases for where  $vwx$  could be
  - We need to find a contradiction for each of these cases



Observation:

$vx$  in cases 1,2,3 consist of one type of symbol/terminal

$vx$  in cases 4,5 consists of two types of symbols

## Cases 1, 2, and 3

$L_2: \{ w \mid w \in \{a,b,c\}^*, \text{ and } n_a(w) = n_b(w) * n_c(w) \}$

- Case 1:  $vx$  consists entirely of a's  $\Rightarrow v = a^j, x = a^k$
- From Lemma:  $(j+k) \geq 1$  and  $(j+k) \leq p$
- Consider  $z' = uv^2wx^2y = a^{\{p^2+(j+k)\}}b^p c^p$ 
  - But,  $n_b(w) = n_c(w) = p$
  - Since  $p^2+(j+k) > p^2$ , we know that  $n_a(w) \neq n_b(w) * n_c(w)$
- Therefore  $z'$  it is not in the  $L_2$
  
- Cases 2, 3: i.e.  $vx$  consists entirely of b's or entirely of c's
  - Setting  $i=2$ , we get an increase in either the number of b's or c's without increasing a's. So,  $n_a(w) \neq n_b(w) * n_c(w)$

## Cases 4,5

$$L_2 = \{ w \mid w \in \{a,b,c\}^*, \text{ and } n_a(w) = n_b(w) * n_c(w) \}$$

- Cases 4,5 are a bit more complicated
- if either  $v$  or  $x$  consist of two different symbols then  $uv^2wx^2y$  will have a's after b's etc.....**but this is allowed in this language!!**
- Case 4:  $vx$  consists of  $j$  a's and  $k$  b's – we don't care about the exact pattern
- Case 5:  $vx$  consists of  $j$  b's and  $k$  c's – we don't care about the exact pattern

## Cases 4,5

$L_2 = \{ w \mid w \in \{a,b,c\}^*, \text{ and } n_a(w) = n_b(w) * n_c(w) \}$

- Case 4:  $w$  consists of  $j$  a's and  $k$  b's – we don't care about the exact pattern
- From conditions of the lemma,  $(j+k) > 0$  and  $(j+k) \leq p$
- Therefore,  $z' = uv^2wx^2y$  will have
  - $n_a(z') = (p^2 + j)$
  - $n_b(z') = (p + k)$
  - $n_c(z') = p$
- Question: is  $(p^2 + j) = p(p+k)$  ?
  - **If**  $p^2 + j = p^2 + pk$  **then**  $j = pk$ 
    - If  $k=0$  then  $j=0$                       **contradiction since**  $(j+k) > 0$
    - If  $k > 0$  then  $j = pk \geq p$ , so  $(j+k) > p$                       **contradiction since**  $(j+k) \leq p$
- Case 5 is similar

## *Exercise:*

$$L_3 = \{ x w w^R y \mid x=y, x,y \in \{0,1\}^*, w \in \{a,b\}^* \}$$

- Intuition: While recognizing  $ww^R$  can be done using a stack, recognizing  $x=y$  implies a stack storage is not sufficient
  - This property is like the language  $ww$  – see earlier proof (and in textbook) that it is not context free.
- Application of pumping lemma now requires carefully choosing the string so we can simplify the proof and focus in on what seems to be the non-context free property of  $x=y$ .
- Assume it is CFL and let  $p$  be the constant of the lemma

$L_3: \{ x w w^R y \mid x=y, x,y \in \{0,1\}^*, w \in \{a,b\}^* \}$

- **Hint:** what is the smallest string that  $w$  can be ? What does a string  $z$  look like with this smallest “value” for  $w$  ?
- Next: write out this string and consider the different cases.