Foundations of Computing Lecture 2

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CS 3313 - Foundations of Computing

January 16, 2025

Outline

Academic Integrity Policies

- 2 Lecture 1 Review
- 3 Language accepted by M
- 4 Quiz Solutions
- 5 Building DFAs
- 6 Proving Correctness of a DFA

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Important

Any work you submit MUST be your own!

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You may do the following:

- discuss general concepts/questions with others
- discuss similar problems not in homework (e.g., from the book(s))

Important

Any work you submit MUST be your own!

You may do the following:

- discuss general concepts/questions with others
- discuss similar problems not in homework (e.g., from the book(s))

You may NOT do the following:

- Copy or provide answers to any hw problems to others
- Use ChatGPT or any other LLM to produce your answers
- Search the web for solutions or use services like chegg.com or StackExchange

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- Syllabus review and course details
- Strings, languages, and functions
- Finite automata

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Language accepted by M



Accepting a string

- *M* accepts a string x (over Σ) if M(x) stops in an accept state
- What strings does *M* accept?

Language accepted by M



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- What strings does *M* accept?

Deciding a language

- *M* <u>decides</u> a language *L* if it accepts:
 - ALL strings in L, and
 - NO strings not in L

Language accepted by M



Accepting a string

- M accepts a string x (over Σ) if M(x) stops in an accept state
- What strings does *M* accept?

Deciding a language

- *M* <u>decides</u> a language *L* if it accepts:
 - ALL strings in L, and
 - NO strings not in L
- Every M accepts exactly one language L(M)

What language does M accept?



L(M):

- String must contain at least one 1
- After the first string of 1's, there must be an even number of 0's or no 0's

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• Does *M* accept 00011?:

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- Does *M* accept 00011?:
- Does M accept 01100?

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- Does *M* accept 00011?:
- Does M accept 01100?
- Describe the language L(M):



- Does *M* accept 00011?:
- Does M accept 01100?
- Describe the language L(M): all strings with one or more 0s followed by one or more 1s

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Deterministic Finite Automata

- Transition function must be fully defined:
 - For every state in Q, for every symbol in Σ , δ must specify a next state

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Deterministic Finite Automata

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 - For every state in Q, for every symbol in Σ , δ must specify a next state
- Transition function must be a function:
 - For every state in Q, for every symbol in $\Sigma,\,\delta$ must specify exactly one next state

Important: Deterministic means that the execution of M on any input in Σ^* must be fully specified.

DFA as an Algorithm

DFA Execution

- Read next input symbol and use transition function to determine next step until run out of input symbols
- If stop in accept state, then output 1

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Memory in a DFA:

- Each state stores a summary of the input seen so far
- Next state depends on the current state and the next symbol
- Think of this as an "if" statement

DFA as an Algorithm

DFA Execution

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- Each state stores a summary of the input seen so far
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Important

Since |Q| is finite, need to be able to take in inputs longer than the number of states

• Cannot just store the entire string!

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Problem

Build a DFA that decides

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 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$

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Problem

Build a DFA that decides

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Building the DFA:

• Idea: State should store the part of 101 seen so far

Problem

Build a DFA that decides

 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$

Building the DFA:

- Idea: State should store the part of 101 seen so far
- Transition function should change state depending on whether next symbol fits pattern

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Building the DFA:

- Idea: State should store the part of 101 seen so far
- Transition function should change state depending on whether next symbol fits pattern

Observations:

- If see a 0:
 - this cannot be the first symbol of 101
 - but can be second character if previous symbol was a 1

Problem

Build a DFA that decides

 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$

Building the DFA:

- Idea: State should store the part of 101 seen so far
- Transition function should change state depending on whether next symbol fits pattern

Observations:

- If see a 0:
 - this cannot be the first symbol of 101
 - but can be second character if previous symbol was a 1
- If see a 1:
 - this can be the first character of 101
 - or, it can be the last character if we previously saw 10 in this case, we should accept

Example 1 – The Algorithm

Problem

Build a DFA that decides

 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$

Algorithm:

- Start:
 - If read a 0, stay in step 1 first symbol cannot be a 0
 - If read a 1, goto step 2 record that we saw a 1

Problem

Build a DFA that decides

 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$

Algorithm:

Start:

If read a 0, stay in step 1 – first symbol cannot be a 0
If read a 1, goto step 2 – record that we saw a 1

Step 2:

If read a 0, goto step 3 – record that we saw 10
If read a 1, stay in step 2 – may be first 1 of 101

Problem

Build a DFA that decides

 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$

Algorithm:

Start:

If read a 0, stay in step 1 – first symbol cannot be a 0
If read a 1, goto step 2 – record that we saw a 1

Step 2:

If read a 0, goto step 3 – record that we saw 10
If read a 1, stay in step 2 – may be first 1 of 101

Step 3:

If read a 0, goto step 1 – this is not 101, time to start over
If read a 1, goto step 4 – we have seen 101

Problem

Build a DFA that decides

 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$

Algorithm:

```
Start:

    If read a 0, stay in step 1 – first symbol cannot be a 0

      • If read a 1, goto step 2 – record that we saw a 1
2 Step 2:
      • If read a 0, goto step 3 – record that we saw 10

    If read a 1, stay in step 2 – may be first 1 of 101

Step 3:

    If read a 0, goto step 1 – this is not 101, time to start over

      • If read a 1, goto step 4 – we have seen 101
Step 4:

    On any input, stay in step 4 and accept
```

Build the DFA

- Start:
 - If read a 0, stay in step 1 first symbol cannot be a 0
 - If read a 1, goto step 2 record that we saw a 1
- 2 Step 2:
 - If read a 0, goto step 3 record that we saw 10
 - If read a 1, stay in step 2 may be first 1 of 101
- Step 3:
 - If read a 0, goto step 1 this is not 101, time to start over
 - If read a 1, goto step 4 we have seen 101
- Step 4:
 - On any input, stay in step 4 and accept

The DFA

Problem

Build a DFA that decides

 $L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$



- q1 not yet read first 1 in 101
- 2 q^2 last input was a 1, could be start of 101
- 9 q3 have read 10
- 9 q4 have read 101

A useful tool for designing DFAs:

• Trap states allow you to "reject" as soon as you know that $w \notin L$

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Exercise

Problem

Build a DFA that decides: $L = \{w | w \in \{0, 1\}^* \text{ that consists of an even number } (\geq 2) \text{ 1's followed by}$ an odd number $(\geq 1) \text{ 0's} \}$

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Exercise

Problem

Build a DFA that decides: $L = \{w | w \in \{0, 1\}^* \text{ that consists of an even number } (\geq 2) \text{ 1's followed by}$ an odd number $(\geq 1) \text{ 0's} \}$



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Another Example

Consider the following DFA M



Image: A matrix

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Another Example

Consider the following DFA M



Theorem: This DFA recognizes

 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s} \}$

Another Example

Consider the following DFA M



Theorem: This DFA recognizes

 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s} \}$

Proof:

- Need to prove that L = L(M)
- Instead we prove the $L \subseteq L(M)$ and $L(M) \subseteq L$





 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s} \}$

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$L \subseteq L(M)$



 $L = \{ w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s} \}$ Claim: Every $w \in L$ will cause M to accept (i.e., stop in q2).

$L \subseteq L(M)$



 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s} \}$ Claim: Every $w \in L$ will cause M to accept (i.e., stop in q2).

Base Case: If |w| = 1 and $w \in L$ then w = 0 and M(w) = 1



 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s} \}$ Claim: Every $w \in L$ will cause M to accept (i.e., stop in q2).

Base Case:

If
$$|w| = 1$$
 and $w \in L$ then $w = 0$ and $M(w) = 1$

Inductive Hypothesis:

For any w of length k, if $w \in L$, $\delta^*(q1, w) = q2$



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Inductive Hypothesis:

For any w of length k, if $w \in L$, $\delta^*(q1, w) = q2$

Proof by Induction: Consider |w| = k + 2 and let w' be the prefix of w of length k. By hypothesis $\delta^*(q1, w') = q2$, and last two bits of w must be 0's Hence $\delta^*(q1, w) = q2$



Claim: Every w accepted by M is in L.

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Claim: Every w accepted by M is in L.

Proof by contradiction:

Assume there exists a string w accepted by M that is not in L

• i.e., has an even number of 0's or a 1

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Proof by contradiction:

Assume there exists a string w accepted by M that is not in L

• i.e., has an even number of 0's or a 1

Proof:

- w cannot have a 1, as any such input will not stop in q^2
- By similar proof to before, any w with even number of 0's must stop in q1
- Ontradiction!