Foundations of Computing Lecture 20

Arkady Yerukhimovich

April 4, 2024

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2 Verifying vs. Deciding

3 Nondeterministic Polynomial Time

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Image: A matched block

- Polynomial Time Computation
- \bullet The Complexity Class ${\cal P}$

$$\mathcal{P} = \bigcup_k TIME(n^k)$$

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 - PATH
 - RELPRIME
 - Pretty much everything you studied in algorithms class

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- Many examples of such (efficiently decidable) languages:
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- But, some problems have resisted our efforts to find efficient algorithms
- Today we will study one important class of such problems

A Hamiltonian path in directed graph G is a path that goes through each node exactly once.

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But, not every graph has a Hamiltonian Path.



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Polynomial Verifiability

However, given a path from s to t, can easily verify whether it is Hamiltonian in polynomial time.

A Boolean formula is an expression inolving Boolean variables and logic operations AND (\land), OR (\lor), and NOT (\neg or \overline{x}).

 $\phi = (\overline{x} \land y) \lor (x \land \overline{z})$

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- Not all formulas are satisfiable

$$\phi' = (\mathbf{x}, \mathbf{y}) \land (\mathbf{x} \land \overline{\mathbf{z}}) \qquad \text{if } \mathbf{x} \ge \mathbf{1}$$

if x=0

 $SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$

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Polynomial Verifiability

However, given an assignment (i.e., values for all the variables), can easily verify whether ϕ is satisfied by this assignment in polynomial time.

 $L = \{x \mid V \text{ accepts } \langle x, w \rangle \text{ for some string } w\}$

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- String w is called a witness that $x \in L$

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Intuition

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- NP is the class of problems where you can verify a solution in poly-time

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Intuition

- \mathcal{P} is the class of problems where you can find a solution in poly-time
- NP is the class of problems where you can verify a solution in poly-time

• Question:
$$\mathcal{P} \stackrel{?}{=} \mathcal{NP}$$




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The two definitions of \mathcal{NP} are equivalent: For any language L,

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Proof Idea:

- Need to prove both directions
- ($\Rightarrow)$ An NTM simulates the verifier by guessing the witness w
- (\Leftarrow) A verifier simulates the NTM by using the accepting branch as the witness

Equivalence of \mathcal{NP} Definitions

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 - \bigcirc Accept if V accepts and reject otherwise

$$\Rightarrow if xeL, \exists w & s.t. V(x,w) = 1$$

$$if x \notin L, \forall w V(x,w) = 0$$

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 - Simulate N on input x, treating each symbol of w as a description of the nondeterministic choice to make at each step

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 - Let N be an NTM deciding L
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 - Simulate N on input x, treating each symbol of w as a description of the nondeterministic choice to make at each step
 - **2** If this branch of N's computation accepts, accept, otherwise reject

We can define the class of languages decided by poly-time NTMs

Definition

$NTIME(t(n)) = \{L \mid L \text{ is a language decided by a } O(t(n))$ time NTM $\}$

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$$\mathcal{NP} = \bigcup_k \mathsf{NTIME}(n^k)$$

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Clique

A clique in and undirected graph is a subset of nodes s.t. every two nodes are connected by an edge. A k-clique is a clique containing k nodes



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 $CLIQUE = \{ \langle G, k \rangle \mid G \text{ is an undirected graph with a } k$ -clique $\}$

Problems in \mathcal{NP} – Example 2

Subset Sum

Given a collection of integers $\{x_1, \ldots, x_k\}$ is there a subset of them that adds up to k?

Problems in \mathcal{NP} – Example 2

Subset Sum

Given a collection of integers $\{x_1, \ldots, x_k\}$ is there a subset of them that adds up to $\frac{1}{\sqrt{2}}$

$$\begin{aligned} \textit{SUBSET} - \textit{SUM} &= \{ \langle S, t \rangle \quad | \quad S = \{x_1, \dots, x_k\} \text{ and for some} \\ \{y_1, \dots, y_l\} \subseteq \{x_1, \dots, x_k\}, \sum y_i = t \} \end{aligned}$$

The Million Dollar Question

$$\mathcal{P}\stackrel{?}{=}\mathcal{N}\mathcal{P}$$

Image: A matrix and a matrix

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$$\mathcal{P} \stackrel{?}{=} \mathcal{N}\mathcal{P}$$

- Is it easier to verify a solution than to find that solution?
- This is the biggest open question in complexity theory

Let's Try to Answer It

Theorem

Every nondeterministic TM has an equivalent deterministic TM.

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- Recall that an execution of a DTM is a sequence of configurations
- Execution of an NTM is a tree of configurations (branches correspond to non-deterministic choices)
- If any node in the tree is an accept node, the NTM accepts
- To simulate an NTM by a DTM, need to try all configurations in the tree to see if we find an accepting one

Simulating NTM on a 3-tape DTM



To simulate an NTM N by a DTM D, we use three tapes:

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Input tape – stores the input and doesn't change



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- Input tape stores the input and doesn't change
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- Input tape stores the input and doesn't change
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- 3 Address tape use to store which nondeterministic branch you are on

Simulating NTM on a 3-tape DTM

Simulating an NTM N

Start with input w on tape 1, and tapes 2,3 empty

Simulating NTM on a 3-tape DTM

Simulating an NTM N

- Start with input w on tape 1, and tapes 2,3 empty
- Copy w to tape 2

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Simulating NTM on a 3-tape DTM

Simulating an NTM N

- Start with input w on tape 1, and tapes 2,3 empty
- Copy w to tape 2
- Use tape 2 to simulate a run of N. Whenever it needs to make a non-deterministic choice, see next symbol on tape 3 for which branch to take. If no symbols left, go to step 4

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- **(**) If N ever enters an accept state, stop and accept

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• NTM running in time t(n), makes O(t(n)) non-deterministic choices

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What's the Problem?

- NTM running in time t(n), makes O(t(n)) non-deterministic choices
- Above algorithm tries all possible values for these branches: $2^{O(t(n))}$
- Resulting DTM runs in exponential time

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Image: A matched block

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- We will show that there are $\mathcal{NP}\text{-}complete$ languages that are as hard as any other language in \mathcal{NP}

- \bullet We will study properties of languages in \mathcal{NP}
- We will show that there are $\mathcal{NP}\text{-}complete$ languages that are as hard as any other language in \mathcal{NP}
- We will show this using reductions Yay!