# Foundations of Computing 

Lecture 2

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January 18, 2024

## Outline

(1) Academic Integrity Policies
(2) Lecture 1 Review
(3) Language accepted by $M$
(4) Quiz Solutions
(5) Building DFAs
(6) Proving Correctness of a DFA

## Homework Policies

## Important

Any work you submit MUST be your own!
You may do the following:

- discuss general concepts/questions with others
- discuss similar problems not in homework (e.g., from book)

You may NOT do the following:

- Copy or provide answers to any hw problems to others
- Use ChatGPT or any other LLM to produce your answers
- Search the web for solutions or use services like chegg.com or StackExchange


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(3) Language accepted by $M$

## 4 Quiz Solutions

## (5) Building DFAs

(6) Proving Correctness of a DFA

## Lecture 1 Review

- Syllabus review and course details
- Strings and languages
- Finite automata


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## Language accepted by $M$



## Accepting a string

- $M$ accepts a string $x$ (over $\Sigma$ ) if $M(x)$ stops in an accept state
- What strings does $M$ accept?


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## Accepting a language

- $M$ accepts/decides a language $L$ if it accepts:
- ALL strings in $L$, and
- NO strings not in $L$
- Every $M$ accepts exactly one language $L(M)$


## What language does M accept?


$L(M)$ :

- String must contain at least one 1
- After the first string of 1 's, there must be an even number of 0 's or no 0's


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## Quiz Solutions



- Does $M$ accept 00011?: Yes


## Quiz Solutions



- Does $M$ accept 00011?: Yes
- Does $M$ accept 01100? No


## Quiz Solutions



- Does $M$ accept 00011?: Yes
- Does $M$ accept 01100? No
- Describe the language $L(M)$ : all strings with one or more 0s followed by one or more 1s


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## Important Rules of Deterministic Finite Automata

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- Transition function must be fully defined:
- For every state in $Q$, for every symbol in $\Sigma, \delta$ must specify a next state


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- Transition function must be a function
- For every state in $Q$, for every symbol in $\Sigma, \delta$ must specify exactly one next state

Important: Deterministic means that the execution of $M$ on any input must be fully specified.

## DFA as an Algorithm

## DFA Execution

(1) Read next input symbol and use transition function to determine next step until run out of input symbols
(2) If stop in accept state, then output 1

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- Next state depends on the current state and the next symbol
- Think of this as an "if" statement


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## Important

Since $|Q|$ is finite, input string may be longer than number of states

- Cannot just store the entire string


## Example 1

## Problem

## Build a DFA that accepts

$L=\left\{w \mid w \in\{0,1\}^{*}\right.$ and $w$ contains the substring 101$\}$

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Building the DFA:

- Idea: State should store the part of 101 seen so far
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Observations:
- If see a 0 :
- this cannot be the first symbol of 101
- but can be second character if previous symbol was a 1


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- Idea: State should store the part of 101 seen so far
- Transition function should change state depending on whether next symbol fits pattern
Observations:
- If see a 0 :
- this cannot be the first symbol of 101
- but can be second character if previous symbol was a 1
- If see a 1 :
- this can be the first character of 101
- or, it can be the last character if we previously saw 10 - in this case, we should accept


## Example 1 - The Algorithm

## Problem

Build a DFA that accepts

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L=\left\{w \mid w \in\{0,1\}^{*} \text { and } w \text { contains the substring } 101\right\}
$$

Algorithm:
(1) Start:

- If read a 0 , stay in step 1 - first symbol cannot be a 0
- If read a 1 , goto step 2 - record that we saw a 1


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## Problem

Build a DFA that accepts

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## Algorithm:

(1) Start:

- If read a 0 , stay in step 1 - first symbol cannot be a 0
- If read a 1 , goto step 2 - record that we saw a 1
(2) Step 2:
- If read a 0 , goto step 3 - record that we saw 10
- If read a 1 , stay in step 2 - may be first 1 of 101


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- If read a 1 , stay in step 2 - may be first 1 of 101
(3) Step 3:
- If read a 0 , goto step 1 - this is not 101 , time to start over
- If read a 1 , goto step 4 - we have seen 101


## Example 1 - The Algorithm

## Problem

Build a DFA that accepts

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L=\left\{w \mid w \in\{0,1\}^{*} \text { and } w \text { contains the substring } 101\right\}
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Algorithm:
(1) Start:

- If read a 0 , stay in step 1 - first symbol cannot be a 0
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(3) Step 3:
- If read a 0 , goto step 1 - this is not 101 , time to start over
- If read a 1 , goto step 4 - we have seen 101
(c) Step 4:
- On any input, stay in step 4 and accept


## Build the DFA

(1) Start:

- If read a 0 , stay in step 1 - first symbol cannot be a 0
- If read a 1 , goto step 2 - record that we saw a 1
(2) Step 2:
- If read a 0 , goto step 3 - record that we saw 10
- If read a 1 , stay in step 2 - may be first 1 of 101
(3) Step 3:
- If read a 0 , goto step 1 - this is not 101 , time to start over
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## The DFA

## Problem

Build a DFA that accepts

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L=\left\{w \mid w \in\{0,1\}^{*} \text { and } w \text { contains the substring } 101\right\}
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(1) q1 - not yet read first 1 in 101
(2) $q 2$ - last input was a 1 , could be start of 101
(3) q3 - have read 10
(9) $q 4$ - have read 101

## Trap States

A useful tool for designing DFAs:

- Trap states allow you to "reject" as soon as you know that $w \notin L$


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## For convenience

You can omit edges from transition diagram that point to the trap state

## Example 2

## Problem

Build a DFA that accepts:
$L=\left\{w \mid w \in\{0,1\}^{*}\right.$ and has an even number $(\geq 2)$ 1's followed by an odd number ( $\geq 1$ ) 0's $\}$

## Example 2

## Problem

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## Another Example

## Consider the following DFA $M$



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Theorem: This DFA recognizes

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Consider the following DFA $M$


Theorem: This DFA recognizes

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L=\left\{w \in\{0,1\}^{*} \mid w \text { has odd number of } 0 \mathrm{~s} \text { and no } 1 \mathrm{~s}\right\}
$$

Proof:

- Need to prove that $L=L(M)$
- Instead we prove the $L \subseteq L(M)$ and $L(M) \subseteq L$


## $L \subseteq L(M)$


$L=\left\{w \in\{0,1\}^{*} \mid w\right.$ has odd number of 0 s and no 1 s$\}$

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Claim: Every $w \in L$ will cause $M$ to accept (i.e., stop in $q 2$ ).

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Base Case:
If $|w|=1$ and $w \in L$ then $w=0$ and $M(w)=1$

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For any $w$ of length $k$, if $w \in L, \delta^{*}(q 1, w)=q 2$

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Base Case:
If $|w|=1$ and $w \in L$ then $w=0$ and $M(w)=1$
Inductive Hypothesis:
For any $w$ of length $k$, if $w \in L, \delta^{*}(q 1, w)=q 2$
Proof by Induction:
Consider $|w|=k+2$ and let $w^{\prime}$ be the prefix of $w$ of length $k$. By hypothesis $\delta^{*}\left(q 1, w^{\prime}\right)=q 2$, and last two bits of $w$ must be 0 's Hence $\delta^{*}(q 1, w)=q 2$

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Proof by contradiction:
Assume there exists a string $w$ accepted by $M$ that is not in $L$

- i.e., has an even number of 0 's or a 1

Proof:
(1) $w$ cannot have a 1 , as any such input will not stop in $q 2$
(2) By similar proof to before, any $w$ with even number of 0 's must stop in $q 1$
(3) Contradiction!

