Foundations of Computing Lecture 2

Arkady Yerukhimovich

January 18, 2024

Outline

- Academic Integrity Policies
- 2 Lecture 1 Review
- 3 Language accepted by M
- Quiz Solutions
- Building DFAs
- 6 Proving Correctness of a DFA

Homework Policies

Important

Any work you submit MUST be your own!

You may do the following:

- discuss general concepts/questions with others
- discuss similar problems not in homework (e.g., from book)

You may NOT do the following:

- Copy or provide answers to any hw problems to others
- Use ChatGPT or any other LLM to produce your answers
- Search the web for solutions or use services like chegg.com or StackExchange

Outline

- Academic Integrity Policies
- 2 Lecture 1 Review
- 3 Language accepted by M
- Quiz Solutions
- Building DFAs
- 6 Proving Correctness of a DFA

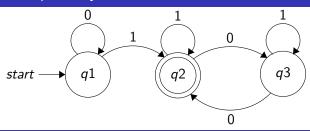
Lecture 1 Review

- Syllabus review and course details
- Strings and languages
- Finite automata

Outline

- Academic Integrity Policies
- 2 Lecture 1 Review
- \odot Language accepted by M
- Quiz Solutions
- Building DFAs
- 6 Proving Correctness of a DFA

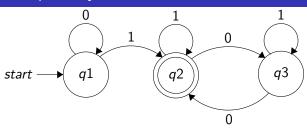
Language accepted by M



Accepting a string

- M accepts a string x (over Σ) if M(x) stops in an accept state
- What strings does *M* accept?

Language accepted by M



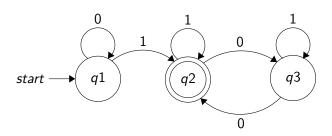
Accepting a string

- M accepts a string x (over Σ) if M(x) stops in an accept state
- What strings does M accept?

Accepting a language

- M accepts/decides a language L if it accepts:
 - ALL strings in L, and
 - NO strings not in L
- Every M accepts exactly one language L(M)

What language does M accept?



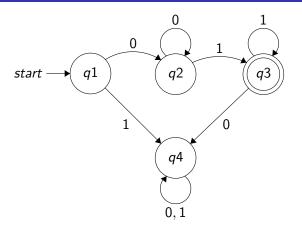
L(M):

- String must contain at least one 1
- After the first string of 1's, there must be an even number of 0's or no 0's

Outline

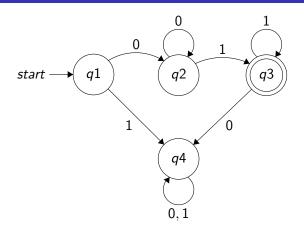
- Academic Integrity Policies
- 2 Lecture 1 Review
- \bigcirc Language accepted by M
- Quiz Solutions
- Building DFAs
- 6 Proving Correctness of a DFA

Quiz Solutions



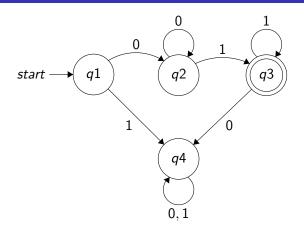
• Does M accept 00011?: Yes

Quiz Solutions



- Does M accept 00011?: Yes
- Does M accept 01100? No

Quiz Solutions



- Does M accept 00011?: Yes
- Does M accept 01100? No
- Describe the language L(M): all strings with one or more 0s followed by one or more 1s

Outline

- Academic Integrity Policies
- 2 Lecture 1 Review
- 3 Language accepted by M
- Quiz Solutions
- Building DFAs
- 6 Proving Correctness of a DFA

Important Rules of Deterministic Finite Automata

Deterministic Finite Automata

- Transition function must be fully defined:
 - ullet For every state in Q, for every symbol in Σ , δ must specify a next state

Important Rules of Deterministic Finite Automata

Deterministic Finite Automata

- Transition function must be fully defined:
 - For every state in Q, for every symbol in Σ , δ must specify a next state
- Transition function must be a function
 - For every state in Q, for every symbol in Σ , δ must specify exactly one next state

Important Rules of Deterministic Finite Automata

Deterministic Finite Automata

- Transition function must be fully defined:
 - ullet For every state in Q, for every symbol in Σ , δ must specify a next state
- Transition function must be a function
 - For every state in Q, for every symbol in Σ , δ must specify exactly one next state

Important: Deterministic means that the execution of M on any input must be fully specified.

DFA as an Algorithm

DFA Execution

- Read next input symbol and use transition function to determine next step until run out of input symbols
- If stop in accept state, then output 1

DFA as an Algorithm

DFA Execution

- Read next input symbol and use transition function to determine next step until run out of input symbols
- If stop in accept state, then output 1

Memory in a DFA:

- Each state stores a summary of the input seen so far
- Next state depends on the current state and the next symbol
- Think of this as an "if" statement

DFA as an Algorithm

DFA Execution

- Read next input symbol and use transition function to determine next step until run out of input symbols
- If stop in accept state, then output 1

Memory in a DFA:

- Each state stores a summary of the input seen so far
- Next state depends on the current state and the next symbol
- Think of this as an "if" statement

Important

Since |Q| is finite, input string may be longer than number of states

• Cannot just store the entire string



Problem

Build a DFA that accepts

 $L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$

Problem

Build a DFA that accepts

$$L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$$

Building the DFA:

• Idea: State should store the part of 101 seen so far

Problem

Build a DFA that accepts

$$L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$$

Building the DFA:

- Idea: State should store the part of 101 seen so far
- Transition function should change state depending on whether next symbol fits pattern

Problem

Build a DFA that accepts

$$L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$$

Building the DFA:

- Idea: State should store the part of 101 seen so far
- Transition function should change state depending on whether next symbol fits pattern

Observations:

- If see a 0:
 - this cannot be the first symbol of 101
 - but can be second character if previous symbol was a 1

Problem

Build a DFA that accepts

$$L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$$

Building the DFA:

- Idea: State should store the part of 101 seen so far
- Transition function should change state depending on whether next symbol fits pattern

Observations:

- If see a 0:
 - this cannot be the first symbol of 101
 - but can be second character if previous symbol was a 1
- If see a 1:
 - this can be the first character of 101
 - or, it can be the last character if we previously saw 10 in this case, we should accept

Problem

Build a DFA that accepts

 $L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$

- Start:
 - If read a 0, stay in step 1 first symbol cannot be a 0
 - If read a 1, goto step 2 record that we saw a 1

Problem

Build a DFA that accepts

$$L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$$

- Start:
 - If read a 0, stay in step 1 first symbol cannot be a 0
 - If read a 1, goto step 2 record that we saw a 1
- Step 2:
 - If read a 0, goto step 3 record that we saw 10
 - If read a 1, stay in step 2 may be first 1 of 101

Problem

Build a DFA that accepts

 $L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$

- Start:
 - If read a 0, stay in step 1 first symbol cannot be a 0
 - If read a 1, goto step 2 record that we saw a 1
- Step 2:
 - If read a 0, goto step 3 record that we saw 10
 - If read a 1, stay in step 2 may be first 1 of 101
- Step 3:
 - If read a 0, goto step 1 this is not 101, time to start over
 - If read a 1, goto step 4 we have seen 101

Problem

Build a DFA that accepts

 $L = \{w | w \in \{0, 1\}^* \text{ and } w \text{ contains the substring } 101\}$

- Start:
 - If read a 0, stay in step 1 first symbol cannot be a 0
 - If read a 1, goto step 2 record that we saw a 1
- Step 2:
 - If read a 0, goto step 3 record that we saw 10
 - If read a 1, stay in step 2 may be first 1 of 101
- Step 3:
 - If read a 0, goto step 1 this is not 101, time to start over
 - If read a 1, goto step 4 we have seen 101
- Step 4:
 - On any input, stay in step 4 and accept

Build the DFA

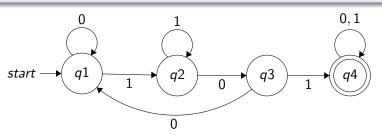
- Start:
 - If read a 0, stay in step 1 first symbol cannot be a 0
 - If read a 1, goto step 2 record that we saw a 1
- Step 2:
 - If read a 0, goto step 3 record that we saw 10
 - If read a 1, stay in step 2 may be first 1 of 101
- **3** Step 3:
 - If read a 0, goto step 1 this is not 101, time to start over
 - If read a 1, goto step 4 we have seen 101
- Step 4:
 - On any input, stay in step 4 and accept

The DFA

Problem

Build a DFA that accepts

$$L = \{w | w \in \{0,1\}^* \text{ and } w \text{ contains the substring } 101\}$$



- \bigcirc q1 not yet read first 1 in 101
- 2 q^2 last input was a 1, could be start of 101
- q3 have read 10
- q4 have read 101

A useful tool for designing DFAs:

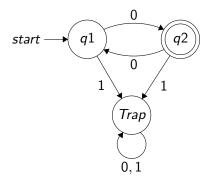
• Trap states allow you to "reject" as soon as you know that $w \notin L$

A useful tool for designing DFAs:

- Trap states allow you to "reject" as soon as you know that $w \notin L$
- Trap states have no out edges no way to get to accept

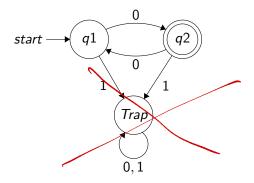
A useful tool for designing DFAs:

- Trap states allow you to "reject" as soon as you know that $w \notin L$
- Trap states have no out edges no way to get to accept



A useful tool for designing DFAs:

- Trap states allow you to "reject" as soon as you know that $w \notin L$
- Trap states have no out edges no way to get to accept



For convenience

You can omit edges from transition diagram that point to the trap state

Problem

Build a DFA that accepts:

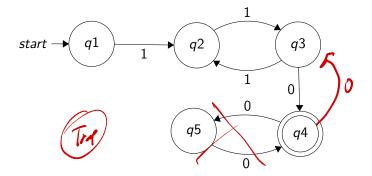
 $L = \{w | w \in \{0,1\}^* \text{ and has an even number } (\geq 2) \text{ 1's followed by an odd number } (\geq 1) \text{ 0's} \}$

Example 2

Problem

Build a DFA that accepts:

 $L=\{w|w\in\{0,1\}^* \text{ and has an even number } (\geq 2) \text{ 1's followed by an odd number } (\geq 1) \text{ 0's}\}$

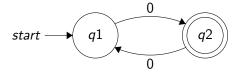


Outline

- Academic Integrity Policies
- 2 Lecture 1 Review
- 3 Language accepted by M
- Quiz Solutions
- Building DFAs
- 6 Proving Correctness of a DFA

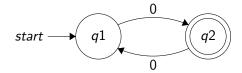
Another Example

Consider the following DFA M



Another Example

Consider the following DFA M

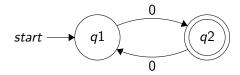


Theorem: This DFA recognizes

 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s}\}$

Another Example

Consider the following DFA M

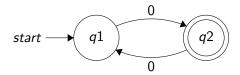


Theorem: This DFA recognizes

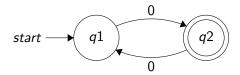
$$L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s}\}$$

Proof:

- Need to prove that L = L(M)
- Instead we prove the $L \subseteq L(M)$ and $L(M) \subseteq L$

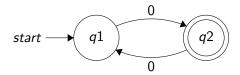


 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s} \}$



 $L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s}\}$

Claim: Every $w \in L$ will cause M to accept (i.e., stop in q2).

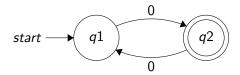


$$L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s}\}$$

Claim: Every $w \in L$ will cause M to accept (i.e., stop in q2).

Base Case:

If
$$|w|=1$$
 and $w\in L$ then $w=0$ and $M(w)=1$



$$L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s}\}$$

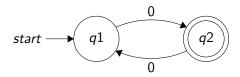
Claim: Every $w \in L$ will cause M to accept (i.e., stop in q2).

Base Case:

If
$$|w| = 1$$
 and $w \in L$ then $w = 0$ and $M(w) = 1$

Inductive Hypothesis:

For any w of length k, if $w \in L$, $\delta^*(q1, w) = q2$



$$L = \{w \in \{0,1\}^* | w \text{ has odd number of 0s and no 1s}\}$$

Claim: Every $w \in L$ will cause M to accept (i.e., stop in q2).

Base Case:

If
$$|w| = 1$$
 and $w \in L$ then $w = 0$ and $M(w) = 1$

Inductive Hypothesis:

For any
$$w$$
 of length k , if $w \in L$, $\delta^*(q1, w) = q2$

Proof by Induction:

Consider |w| = k + 2 and let w' be the prefix of w of length k.

By hypothesis $\delta^*(q1, w') = q2$, and last two bits of w must be 0's

Hence $\delta^*(q1, w) = q2$

$L(M) \subseteq L$

Claim: Every w accepted by M is in L.

$L(M) \subseteq L$

Claim: Every w accepted by M is in L.

Proof by contradiction:

Assume there exists a string w accepted by M that is not in L

• i.e., has an even number of 0's or a 1

$L(M) \subseteq L$

Claim: Every w accepted by M is in L.

Proof by contradiction:

Assume there exists a string w accepted by M that is not in L

• i.e., has an even number of 0's or a 1

Proof:

- w cannot have a 1, as any such input will not stop in q2
- ② By similar proof to before, any w with even number of 0's must stop in q1
- Contradiction!