# Foundations of Computing Lecture 4

Arkady Yerukhimovich

January 25, 2024

Arkady Yerukhimovich

CS 3313 - Foundations of Computing

January 25, 2024

## Lecture 3 Review

- 2 Example NFAs
- 3 Equivalence of NFAs and DFAs
- Properties of Regular Languages Using NFAs
- 5 Regular Expressions

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- Regular Languages
- Nondeterministic Finite Automata
- Understanding Nondeterminism

## Lecture 3 Review

# 2 Example NFAs

3 Equivalence of NFAs and DFAs

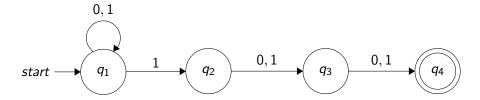
Properties of Regular Languages Using NFAs

### 5 Regular Expressions

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# NFA Example 1



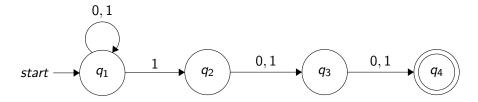
Question: What is L(M)?

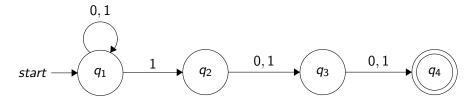
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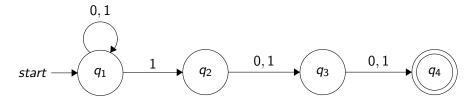
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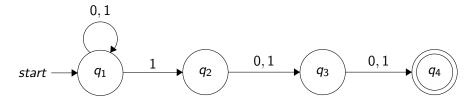
How does it work?

• M waits in  $q_1$  until it "guesses" that it is 3 symbols from the end



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- Uses the rest of the states to verify that 1 is third from the end



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- M waits in  $q_1$  until it "guesses" that it is 3 symbols from the end
- Uses the rest of the states to verify that 1 is third from the end
- DFA doing the same thing would have to track the last three bits seen – requires 8 states

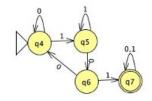
- $L = \{x | x \in \{0, 1\}^* \text{ and } x \text{ contains }$ 
  - the substring 101, or
  - the substring 010

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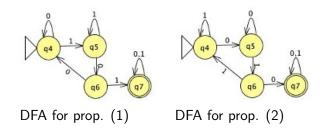
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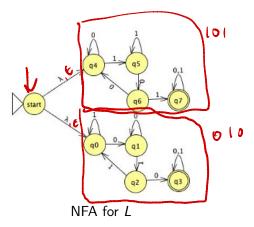
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- Only need to verify that inputs have correct form
- Ability to "guess" when some checkable property occurs is very useful

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### Question

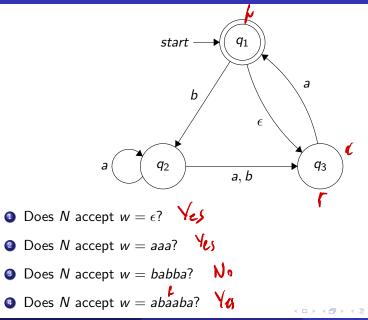
Are NFAs more powerful than DFAs?

## Quiz

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Quiz



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## Lecture 3 Review

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# $\mathsf{DFAs} == \mathsf{NFAs}$

### Theorem

For every NFA N there exists an equivalent DFA M

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Intuition:

• Recall how we simulated NFA N by highlighting a set of nodes

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A little more detail:

• Let node of DFA *M* represent set of "highlighted" nodes

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A little more detail:

- Let node of DFA *M* represent set of "highlighted" nodes
- $\bullet\,$  Define  $\delta$  to move to new set of highlighted nodes
- Accept states are ones in which at least one node is an accept node
- Can deal with  $\epsilon$  edges by "placing more fingers" on resulting nodes

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## Let N be an NFA recognizing L. Contruct DFA M recognizing L Q' = P(Q) – power set of Q

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- Q' = P(Q) power set of Q
- **2** For  $R \in Q'$  and  $a \in \Sigma$ , let **(**

$$\delta'(R,a) = \cup_{r \in R} \delta(r,a)$$

Look at transitions from all states in set R and map to set that gives results of all these transitions

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**3** 
$$q'_0 = \{q_0\}$$

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- 3  $q'_0 = \{q_0\}$
- *F'* = {*R* ∈ *Q'*|*R* contains an accept state of *N*} Accept if any state in *R* is an accept state

Intuition: For every  $\epsilon$  edge, just place a new "finger" on the graph

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• Let  $E(R) = \{q | q \text{ can be reached from } R \text{ along } \epsilon \text{ arrows} \}$ 

Intuition: For every  $\epsilon$  edge, just place a new "finger" on the graph Formally:

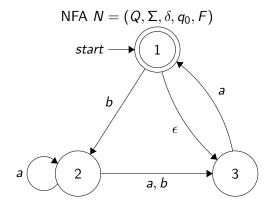
• Let  $E(R) = \{q | q \text{ can be reached from } R \text{ along } \epsilon \text{ arrows} \}$ 

② Define extended transition function

$$\delta'(R,a) = \cup_{r \in R} E(\delta(r,a))$$

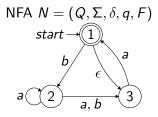
Map to set of states that can be reached on input a or  $a\epsilon$ 

## An Example: NFA $\rightarrow$ DFA



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### • states: Q' =

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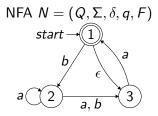
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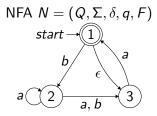
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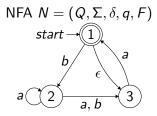
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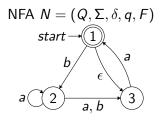
• states:  $Q' = P(Q) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\}\}$ • start state: q' =



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- 3 accept states: F =



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- **3** accept states:  $F = \{\{1\}, \{1,2\}, \{1,3\}, \{1,2,3\}\}$



• Transition function 
$$\delta':$$
  
 $\delta'(\emptyset, a) = \emptyset$   
 $\delta'(\{1\}, a) = \emptyset$   
•  $\delta'(\{2\}, a) = \{1, 3\}$   
 $\delta'(\{1, 2\}, a) =$   
 $\delta'(\{3\}, a) =$   
 $\delta'(\{1, 3\}, a) =$   
 $\delta'(\{2, 3\}, a) =$   
 $\delta'(\{1, 2, 3\}, a) =$ 

$$\delta'(\emptyset, b) = \emptyset'$$
  

$$\delta'(\{1\}, b) = \{2, 2\}$$
  

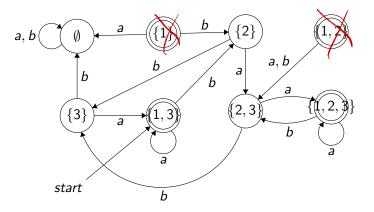
$$\delta'(\{2\}, b) = \delta'(\{1, 2\}, b) = \delta'(\{3\}, b) = \delta'(\{1, 3\}, b) = \delta'(\{2, 3\}, b) = \delta'(\{2, 3\}, b) = \delta'(\{1, 2, 3\}, b) = \delta'(\{1, 3, 3\}, b)$$

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■ Transition function 
$$\delta'$$
:  
 $\delta'(\emptyset, a) = \emptyset$   $\delta'(\emptyset, b)$   
 $\delta'(\{1\}, a) = \emptyset$   $\delta'(\{1\}, a) = \emptyset$   $\delta'(\{1\}, a) = \emptyset$   
 $\delta'(\{2\}, a) = \{2, 3\}$   $\delta'(\{2\}, a) = \{2, 3\}$   $\delta'(\{1, 2\}, a) = \{2, 3\}$   $\delta'(\{1, 2\}, a) = \{1, 3\}$   $\delta'(\{1, 3\}, a) = \{1, 3\}$   $\delta'(\{1, 3\}, a) = \{1, 2, 3\}$   $\delta'(\{2, 3\}, a) = \{1, 2, 3\}$   $\delta'(\{2, 3\}, a) = \{1, 2, 3\}$   $\delta'(\{1, 2, 3\}, a) = \{1, 2, 3\}$ 

$$\begin{aligned} \delta'(\emptyset, b) &= \emptyset\\ \delta'(\{1\}, b) &= \{2\}\\ \delta'(\{2\}, b) &= \{3\}\\ \delta'(\{1, 2\}, b) &= \{2, 3\}\\ \delta'(\{3\}, b) &= \emptyset\\ \delta'(\{3\}, b) &= \{0\}\\ \delta'(\{1, 3\}, b) &= \{2\}\\ \delta'(\{2, 3\}, b) &= \{3\}\\ \delta'(\{1, 2, 3\}, b) &= \{2, 3\} \end{aligned}$$

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- **3** Equivalence of NFAs and DFAs
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### 5 Regular Expressions

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Recall that:

#### Definition

A language L is regular if and only if there is a DFA that recognizes it

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Recall that:

Definition

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Since we now know that NFAs and DFAs are equal:

#### Corollary

A language L is regular if and only if there is an NFA that recognizes it

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Definition

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#### Corollary

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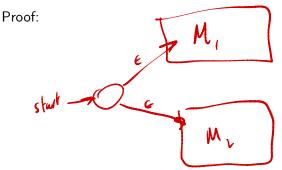
We can now use NFAs to argue the properties of regular languages

# **Closure Under Union**

### **Closure Under Union**

If  $L_1$  and  $L_2$  are both regular languages then  $L_1 \cup L_2$  is also regular

 $L_1 \cup L_2$  is the language consisting of all strings either in  $L_1$  or  $L_2$ 



### Closure Under Concatenation

If  $L_1$  and  $L_2$  are both regular languages then  $L_1 \circ L_2$  is also regular

$$L_1 \circ L_2 = \{xy | x \in L_1 \text{ and } y \in L_2\}$$

Proof:

