

# Foundations of Computing

## Lecture 9

Arkady Yerukhimovich

February 13, 2024

- 1 Midterm 1 Announcement
- 2 Lecture 8 Review
- 3 Grammars
- 4 Designing Context-Free Grammars
- 5 Derivations and Parse Trees

# Midterm 1 – February 22

- Exam 1 will be in class on February 22 (next Thursday)
- It will cover NFA/DFA/regular languages, and PDAs/Context-free grammars

## Exam Policies

- The exam will be closed book and closed notes
- You will be allowed two  $8.5 \times 11$  pieces of paper with notes – anything you choose
- No computers, calculators, or other digital devices – bring a pencil or pen

## Important

If you have a conflict with this exam, let me know ASAP!

# Next Week

- Lecture and lab next week will be largely for review
- This is your chance to clear things up before the midterm

Bring your questions!

# Outline

- 1 Midterm 1 Announcement
- 2 Lecture 8 Review**
- 3 Grammars
- 4 Designing Context-Free Grammars
- 5 Derivations and Parse Trees

- Pushdown Automata
  - Using a stack to recognize non-regular languages
  - Examples of building PDAs

- Pushdown Automata
  - Using a stack to recognize non-regular languages
  - Examples of building PDAs

## Today

An alternative formulation for languages accepted by PDAs

- 1 Midterm 1 Announcement
- 2 Lecture 8 Review
- 3 Grammars**
- 4 Designing Context-Free Grammars
- 5 Derivations and Parse Trees



# Representing Languages

Recall that a language  $L$  is a set of strings

We have seen several ways for describing a language  $L$ :

- DFA/NFA – the language of strings accepted by  $M$
- Regular expressions
- Pushdown Automata

# Representing Languages

Recall that a language  $L$  is a set of strings

We have seen several ways for describing a language  $L$ :

- DFA/NFA – the language of strings accepted by  $M$
- Regular expressions
- Pushdown Automata

## Grammars

- A grammar is a set of rules by which strings in  $L$  are constructed/derived

# Representing Languages

Recall that a language  $L$  is a set of strings

We have seen several ways for describing a language  $L$ :

- DFA/NFA – the language of strings accepted by  $M$
- Regular expressions
- Pushdown Automata

## Grammars

- A grammar is a set of rules by which strings in  $L$  are constructed/derived
- Today, we will focus on context-free grammars and the languages they represent

A grammar  $G$  consists of:

- $V$  – finite set of variables (usually Capital Letters)
- $\Sigma$  – a finite set of symbols called the terminals (usually lower case letters)
- $R$  – finite set of rules how strings in  $L$  can be produced
- $S \in V$  – start variable

If no  $S$  is specified, can assume it is the variable in the first rule.

A grammar  $G$  consists of:

- $V$  – finite set of variables (usually Capital Letters)
- $\Sigma$  – a finite set of symbols called the terminals (usually lower case letters)
- $R$  – finite set of rules how strings in  $L$  can be produced
- $S \in V$  – start variable

If no  $S$  is specified, can assume it is the variable in the first rule.

## Definition

For a grammar  $G$ , the language  $L_G$  generated by  $G$  is the set of all terminal strings that can be produced by  $G$  starting with the start symbol by using a sequence of the production rules.

# Example 1

Consider the following grammar  $G_1$ :

- $V = \{A, B\}$
- $\Sigma = \{0, 1, \#\}$
- $R =$

$$A \rightarrow 0A1$$

$$A \rightarrow B$$

$$B \rightarrow \#$$

- $S = A$

# Example 1

Consider the following grammar  $G_1$ :

- $V = \{A, B\}$
- $\Sigma = \{0, 1, \#\}$
- $R =$

$$A \rightarrow 0A1$$

$$A \rightarrow B$$

$$B \rightarrow \#$$

- $S = A$

Strings Produced by  $G_1$ :

$0\#1$

$A \Rightarrow 0A1 \Rightarrow 001 \Rightarrow 0\#1$

# Example 1

Consider the following grammar  $G_1$ :

- $V = \{A, B\}$
- $\Sigma = \{0, 1, \#\}$
- $R =$

$$A \rightarrow 0A1$$

$$A \rightarrow B$$

$$B \rightarrow \#$$

- $S = A$

Strings Produced by  $G_1$ :

$$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 000A111 \Rightarrow 000B111 \Rightarrow 000\#111$$



# Example 1

Consider the following grammar  $G_1$ :

- $V = \{A, B\}$
- $\Sigma = \{0, 1, \#\}$
- $R =$

$$A \rightarrow 0A1$$

$$A \rightarrow B$$

$$B \rightarrow \#$$

- $S = A$

Strings Produced by  $G_1$ :

$$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 000A111 \Rightarrow 000B111 \Rightarrow 000\#111$$

$$L(G_1) = \{0^n\#1^n\}$$

# Strings Produced by a Grammar

For a grammar  $G$  generating language  $L$ , can generate each string  $w \in L$  as follows:

# Strings Produced by a Grammar

For a grammar  $G$  generating language  $L$ , can generate each string  $w \in L$  as follows:

- 1 Write down the start variable

# Strings Produced by a Grammar

For a grammar  $G$  generating language  $L$ , can generate each string  $w \in L$  as follows:

- 1 Write down the start variable
- 2 Find a written-down variable and a rule starting with that variable.  
Replace the written variable with the right side of that rule

# Strings Produced by a Grammar

For a grammar  $G$  generating language  $L$ , can generate each string  $w \in L$  as follows:

- 1 Write down the start variable
- 2 Find a written-down variable and a rule starting with that variable.  
Replace the written variable with the right side of that rule
- 3 Repeat Step 2 until no variables remain

# Context-Free Grammars (CFG)

## Definition

A grammar  $G$  is context-free if for all of its rules, the left side consists of exactly one variable and no terminals.

$$A \rightarrow 0 \mid AB \mid \epsilon$$

$$B \rightarrow 0$$

# Context-Free Grammars (CFG)

## Definition

A grammar  $G$  is context-free if for all of its rules, the left side consists of exactly one variable and no terminals.

- This is called context-free since a variable (on left side of rule) always produces same output, regardless of “context”

$$aA \rightarrow B$$

# Context-Free Grammars (CFG)

## Definition

A grammar  $G$  is context-free if for all of its rules, the left side consists of exactly one variable and no terminals.

- This is called context-free since a variable (on left side of rule) always produces same output, regardless of “context”
- Context-free grammars originated in the study of human languages
- They capture recursive structures common in language (e.g., noun phrases can be made of verb-phrases and vice-versa)
  - a girl with a flower likes the boy



# Context-Free Grammars (CFG)

## Definition

A grammar  $G$  is context-free if for all of its rules, the left side consists of exactly one variable and no terminals.

- This is called context-free since a variable (on left side of rule) always produces same output, regardless of “context”
- Context-free grammars originated in the study of human languages
- They capture recursive structures common in language (e.g., noun phrases can be made of verb-phrases and vice-versa)
  - a girl with a flower likes the boy
- Also, very useful for describing programming languages:
  - Can capture matching, nested brackets:

```
if x > 3 {  
    if y < 5 {  
        Do something  
    }  
}
```

# Outline

- 1 Midterm 1 Announcement
- 2 Lecture 8 Review
- 3 Grammars
- 4 Designing Context-Free Grammars**
- 5 Derivations and Parse Trees

# How to Design CFGs for $L$

## Designing CFGs

## Designing CFGs

- CFGs are inherently recursive (e.g.,  $A \rightarrow 0A1$ ) – need to think what happens when we recurse

## Designing CFGs

- CFGs are inherently recursive (e.g.,  $A \rightarrow 0A1$ ) – need to think what happens when we recurse
- Build a string from outside in

## Designing CFGs

- CFGs are inherently recursive (e.g.,  $A \rightarrow 0A1$ ) – need to think what happens when we recurse
- Build a string from outside in
- Build from both ends at the same time (due to recursion)

# How to Design CFGs for $L$

## Designing CFGs

- CFGs are inherently recursive (e.g.,  $A \rightarrow 0A1$ ) – need to think what happens when we recurse
- Build a string from outside in
- Build from both ends at the same time (due to recursion)

## This is Tricky

Designing CFGs is not natural, takes lots of practice

# Example 1

## Question

Design a CFG for the language  $L = \{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$



# Example 1

## Question

Design a CFG for the language  $L = \{0^n1^n \mid n \geq 0\} \cup \{1^n0^n \mid n \geq 0\}$

Intuition: Generate each of the two, and take the union

# Example 1

## Question

Design a CFG for the language  $L = \{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$

Intuition: Generate each of the two, and take the union

- 1 Build a grammar for  $L_1 = \{0^n 1^n \mid n \geq 0\}$


# Example 1

## Question

Design a CFG for the language  $L = \{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$

Intuition: Generate each of the two, and take the union

- 1 Build a grammar for  $L_1 = \{0^n 1^n \mid n \geq 0\}$

$$S_1 \rightarrow 0S_11 \mid \epsilon$$


# Example 1

## Question

Design a CFG for the language  $L = \{0^n1^n \mid n \geq 0\} \cup \{1^n0^n \mid n \geq 0\}$

Intuition: Generate each of the two, and take the union

- 1 Build a grammar for  $L_1 = \{0^n1^n \mid n \geq 0\}$

$$S_1 \rightarrow 0S_11 \mid \epsilon$$

- 2 Build a grammar for  $L_2 = \{1^n0^n \mid n \geq 0\}$

# Example 1

## Question

Design a CFG for the language  $L = \{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$

Intuition: Generate each of the two, and take the union

- 1 Build a grammar for  $L_1 = \{0^n 1^n \mid n \geq 0\}$

$$S_1 \rightarrow 0S_11 \mid \epsilon$$

- 2 Build a grammar for  $L_2 = \{1^n 0^n \mid n \geq 0\}$

$$S_2 \rightarrow 1S_20 \mid \epsilon$$

# Example 1

## Question

Design a CFG for the language  $L = \{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$

Intuition: Generate each of the two, and take the union

- 1 Build a grammar for  $L_1 = \{0^n 1^n \mid n \geq 0\}$

$$S_1 \rightarrow 0S_11 \mid \epsilon$$

- 2 Build a grammar for  $L_2 = \{1^n 0^n \mid n \geq 0\}$

$$S_2 \rightarrow 1S_20 \mid \epsilon$$

- 3 Combine the two to give the grammar for the union

$$S \rightarrow S_1 \mid S_2$$

$$S_1 \rightarrow 0S_11 \mid \epsilon$$

$$S_2 \rightarrow 1S_20 \mid \epsilon$$

## Example 2

### Question

Design a CFG for the language  $L = \{a^m b^n \mid m > n \geq 0\}$

## Example 2

### Question

Design a CFG for the language  $L = \{a^m b^n \mid m > n \geq 0\}$

Intuition: This is concatenation of  $a^n b^n$  and  $a^{m-n}$





## Example 2

### Question

Design a CFG for the language  $L = \{a^m b^n \mid m > n \geq 0\}$

Intuition: This is concatenation of  $a^n b^n$  and  $a^{m-n}$

- 1 Build a grammar for  $L_1 = \{a^n b^n \mid n \geq 0\}$

## Example 2

### Question

Design a CFG for the language  $L = \{a^m b^n \mid m > n \geq 0\}$

Intuition: This is concatenation of  $a^n b^n$  and  $a^{m-n}$

- 1 Build a grammar for  $L_1 = \{a^n b^n \mid n \geq 0\}$

$$C \rightarrow aCb \mid \epsilon$$

## Example 2

### Question

Design a CFG for the language  $L = \{a^m b^n \mid m > n \geq 0\}$

Intuition: This is concatenation of  $a^n b^n$  and  $a^{m-n}$

- 1 Build a grammar for  $L_1 = \{a^n b^n \mid n \geq 0\}$

$$C \rightarrow aCb \mid \epsilon$$

- 2 Build a grammar for  $L_2 = \{a^{m-n} \mid m > n \geq 0\}$

## Example 2

### Question

Design a CFG for the language  $L = \{a^m b^n \mid m > n \geq 0\}$

Intuition: This is concatenation of  $a^n b^n$  and  $a^{m-n}$

- 1 Build a grammar for  $L_1 = \{a^n b^n \mid n \geq 0\}$

$$C \rightarrow aCb \mid \epsilon$$

- 2 Build a grammar for  $L_2 = \{a^{m-n} \mid m > n \geq 0\}$

$$A \rightarrow aA \mid a$$

## Example 2

### Question

Design a CFG for the language  $L = \{a^m b^n \mid m > n \geq 0\}$

Intuition: This is concatenation of  $a^n b^n$  and  $a^{m-n}$

- 1 Build a grammar for  $L_1 = \{a^n b^n \mid n \geq 0\}$

$$C \rightarrow aCb \mid \epsilon$$

- 2 Build a grammar for  $L_2 = \{a^{m-n} \mid m > n \geq 0\}$

$$A \rightarrow aA \mid a$$

- 3 Concatenate the two to give the grammar for  $L$

$$S \rightarrow \underline{A}C$$

$$C \rightarrow aCb \mid \epsilon$$

$$A \rightarrow aA \mid a$$

# Exercise

Give a CFG for  $L = \{a^m b^n \mid m \neq n, m, n \geq 0\}$

Hint: Think of this as the union of two languages

$$L_1 = \{a^m b^n \mid m < n\}$$

$$L_2 = \{a^m b^n \mid n < m\}$$

$$\#a's > \#b's$$

$$S_1 \rightarrow AC$$

$$C \rightarrow aCb \mid \epsilon$$

$$A \rightarrow aA \mid a$$

$$\#b's > \#a's$$

$$S_2 \rightarrow CB$$

$$C \rightarrow aCb \mid \epsilon$$

$$B \rightarrow Bb \mid b$$

$$S \rightarrow S_1 \mid S_2$$

# Outline

- 1 Midterm 1 Announcement
- 2 Lecture 8 Review
- 3 Grammars
- 4 Designing Context-Free Grammars
- 5 Derivations and Parse Trees**

# Derivations

- Derivations of a string  $w$  in CFG  $G$  is the sequence of substitutions resulting in  $w$



# Derivations

- Derivations of a string  $w$  in CFG  $G$  is the sequence of substitutions resulting in  $w$

Consider Grammar  $G_1$

$$R = A \rightarrow 0A1 \mid B, \quad B \rightarrow \#$$

# Derivations

- Derivations of a string  $w$  in CFG  $G$  is the sequence of substitutions resulting in  $w$

Consider Grammar  $G_1$

$$R = A \rightarrow 0A1 \mid B, \quad B \rightarrow \#$$

- Find derivation of  $w = 000\#111$

# Derivations

- Derivations of a string  $w$  in CFG  $G$  is the sequence of substitutions resulting in  $w$

Consider Grammar  $G_1$

$$R = A \rightarrow 0A1 \mid B, \quad B \rightarrow \#$$

- Find derivation of  $w = 000\#111$

$$A \Rightarrow \underline{0A1} \Rightarrow \underline{00A11} \Rightarrow \underline{000A111} \Rightarrow \underline{000B111} \Rightarrow \underline{000\#111}$$

- Parse trees are trees with nodes labeled by symbols of a CFG  $G$

# Parse Trees

- Parse trees are trees with nodes labeled by symbols of a CFG  $G$
- Leaves: Labeled by a terminal or  $\epsilon$ 
  - These labels read left-to-right give you the string represented by this parse tree

# Parse Trees

- Parse trees are trees with nodes labeled by symbols of a CFG  $G$
- Leaves: Labeled by a terminal or  $\epsilon$ 
  - These labels read left-to-right give you the string represented by this parse tree
- Interior nodes: Labeled by a variable (on left-hand side of a production rule)
  - Children are labeled by right-hand side of parent's rule

# Parse Trees

- Parse trees are trees with nodes labeled by symbols of a CFG  $G$
- Leaves: Labeled by a terminal or  $\epsilon$ 
  - These labels read left-to-right give you the string represented by this parse tree
- Interior nodes: Labeled by a variable (on left-hand side of a production rule)
  - Children are labeled by right-hand side of parent's rule
- Root: Labeled by start variable

# Parse Trees

- Parse trees are trees with nodes labeled by symbols of a CFG  $G$
- Leaves: Labeled by a terminal or  $\epsilon$ 
  - These labels read left-to-right give you the string represented by this parse tree
- Interior nodes: Labeled by a variable (on left-hand side of a production rule)
  - Children are labeled by right-hand side of parent's rule
- Root: Labeled by start variable

## Why study parse trees?

- Parse trees help us understand the “meaning” of a string
- Also, how parsers can parse a string according to a grammar (e.g., of a programming language)



# Parse Trees – An Example

Consider Grammar  $G_1$

$$R = A \rightarrow 0A1 \mid B, \quad B \rightarrow \#$$

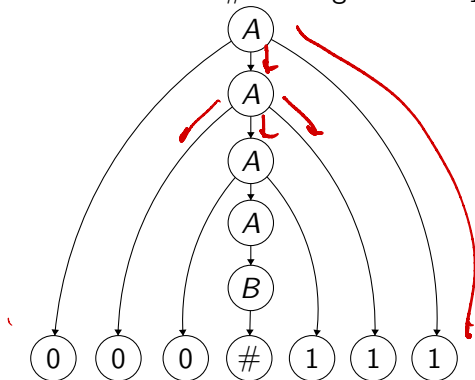
Parse tree for  $000\#111$  in grammar  $G_1$

# Parse Trees – An Example

Consider Grammar  $G_1$

$$R = A \rightarrow 0A1 \mid B, \quad B \rightarrow \#$$

Parse tree for  $000\#111$  in grammar  $G_1$



# Another Example

## A Grammar $G_2$ for Arithmetic Statements

- $V = \{\langle \text{EXPR} \rangle, \langle \text{TERM} \rangle, \langle \text{FACTOR} \rangle\}$
- $\Sigma = \{a, +, \times, (, )\}$
- $R =$ 
  - $\langle \text{EXPR} \rangle \rightarrow \langle \text{EXPR} \rangle + \langle \text{TERM} \rangle \mid \langle \text{TERM} \rangle$
  - $\langle \text{TERM} \rangle \rightarrow \langle \text{TERM} \rangle \times \langle \text{FACTOR} \rangle \mid \langle \text{FACTOR} \rangle$
  - $\langle \text{FACTOR} \rangle \rightarrow (\langle \text{EXPR} \rangle) \mid a$

# Another Example

## A Grammar $G_2$ for Arithmetic Statements

- $V = \{\langle \text{EXPR} \rangle, \langle \text{TERM} \rangle, \langle \text{FACTOR} \rangle\}$
- $\Sigma = \{a, +, \times, (, )\}$
- $R =$ 
  - $\langle \text{EXPR} \rangle \rightarrow \langle \text{EXPR} \rangle + \langle \text{TERM} \rangle \mid \langle \text{TERM} \rangle$
  - $\langle \text{TERM} \rangle \rightarrow \langle \text{TERM} \rangle \times \langle \text{FACTOR} \rangle \mid \langle \text{FACTOR} \rangle$
  - $\langle \text{FACTOR} \rangle \rightarrow (\langle \text{EXPR} \rangle) \mid a$
- What is  $L(G_2)$ ?

# Another Example

## A Grammar $G_2$ for Arithmetic Statements

- $V = \{\langle \text{EXPR} \rangle, \langle \text{TERM} \rangle, \langle \text{FACTOR} \rangle\}$
  - $\Sigma = \{a, +, \times, (, )\}$
  - $R =$ 
    - $\langle \text{EXPR} \rangle \rightarrow \langle \text{EXPR} \rangle + \langle \text{TERM} \rangle \mid \langle \text{TERM} \rangle$
    - $\langle \text{TERM} \rangle \rightarrow \langle \text{TERM} \rangle \times \langle \text{FACTOR} \rangle \mid \langle \text{FACTOR} \rangle$
    - $\langle \text{FACTOR} \rangle \rightarrow (\langle \text{EXPR} \rangle) \mid a$
- 
- What is  $L(G_2)$ ?
  - Parse tree for  $a + a \times a$

## Definitions

- 1 A derivation of  $w$  in  $G$  is a *leftmost derivation* if at every step, the leftmost variable is replaced

$a A b c$   
↑

## Definitions

- 1 A derivation of  $w$  in  $G$  is a *leftmost derivation* if at every step, the leftmost variable is replaced
- 2 A string  $w$  is derived *ambiguously* if it has two or more different leftmost derivations

## Definitions

- 1 A derivation of  $w$  in  $G$  is a *leftmost derivation* if at every step, the leftmost variable is replaced
- 2 A string  $w$  is derived *ambiguously* if it has two or more different leftmost derivations
- 3 Grammar  $G$  is ambiguous if it generates some string ambiguously



## Definitions

- 1 A derivation of  $w$  in  $G$  is a *leftmost derivation* if at every step, the leftmost variable is replaced
- 2 A string  $w$  is derived *ambiguously* if it has two or more different leftmost derivations
- 3 Grammar  $G$  is ambiguous if it generates some string ambiguously
- 4 A language  $L$  is *inherently ambiguous* if it can only be generated by ambiguous grammars

# Ambiguity of Grammars

## Definitions

- 1 A derivation of  $w$  in  $G$  is a *leftmost derivation* if at every step, the leftmost variable is replaced
- 2 A string  $w$  is derived *ambiguously* if it has two or more different leftmost derivations
- 3 Grammar  $G$  is ambiguous if it generates some string ambiguously
- 4 A language  $L$  is *inherently ambiguous* if it can only be generated by ambiguous grammars

## Is ambiguity a problem?

- Ambiguous derivation may lead to different meanings for the string  
Example: The girl touches the boy with the flower

# Ambiguity of Grammars

## Definitions

- 1 A derivation of  $w$  in  $G$  is a *leftmost derivation* if at every step, the leftmost variable is replaced
- 2 A string  $w$  is derived *ambiguously* if it has two or more different leftmost derivations
- 3 Grammar  $G$  is ambiguous if it generates some string ambiguously
- 4 A language  $L$  is *inherently ambiguous* if it can only be generated by ambiguous grammars

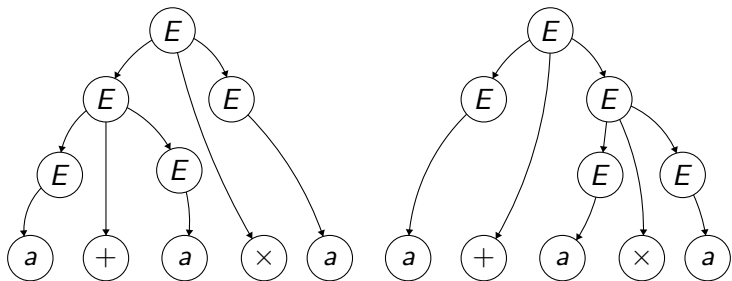
## Is ambiguity a problem?

- Ambiguous derivation may lead to different meanings for the string  
Example: The girl touches the boy with the flower
- Unfortunately, ambiguous languages cannot be made unambiguous

# An Example

Consider the following grammar  $G_3$

$$E \rightarrow E + E \mid E \times E \mid (E) \mid a$$



Two parse trees for the string  $a + a \times a$

- Equivalence between CFGs and PDAs
- A pumping lemma for CFGs